K26FY1120 Pages: 1 Reg No:.....
Name:.....

Second Semester FYUGP Degree (Reg/Sup/Imp) Examination April 2026

KU2MDCCOM103 - STOCK MARKET OPERATIONS

2024 Admission onwards

Time : 1.5 hours Maximum Marks : 50

Section A

Answer any 6 questions. Each carry 2 marks.

1. What is meant by short selling in speculation?
2. What is a foreign institutional investor (FII)?
3. What is a Follow-on Public Offering (FPO)?
4. What types of securities are issued in the primary market?
5. Who is a custodian of securities?
6. What is meant by online trading in stock exchanges?
7. What is the primary function of the NSE?
8. What is a stock market index?

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Section B

Answer any 4 questions. Each carry 6 marks.

9. Explain the concept of a Demat Account and how it differs from a Trading Account.
10. What are the essential documents required for opening a Demat account?
11. What is the difference between long and short positions in stock trading?
12. Briefly explain the Bombay Stock Exchange (BSE).
13. Define Sensex and Nifty. Why are they important?
14. Explain the significance of a Demat account in stock trading.

Section C

Answer any 1 questions. Each carry 14 marks.

15. Compare the advantages of investing in equities versus bonds.
16. Discuss the procedure of book-building process.