K25FY3333 Pages: 2 Reg No:.....
Name:.....

Third Semester FYUGP Degree (Reg) Examination NOVEMBER 2025
KU3DSCCOM203 - FINANCIAL MARKETS AND SERVICES

2024 Admission onwards

Time : 2 hours Maximum Marks : 70

Section A

Answer any 6 questions. Each carry 3 marks.

1. What is UPI?
2. Define FinTech?
3. List out any three features of a financial asset.
4. List out any three points which indicate the importance of financial system.
5. How does SEBI protect the interests of investors.
6. Define portfolio management.
7. List out any three examples of fund-based financial services.
8. What is CARE in credit rating?

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Section B

Answer any 4 questions. Each carry 6 marks.

9. Explain the functions of financial system.
10. Explain the significance of financial concepts.
11. Discuss the weaknesses of Indian financial system.
12. Explain the meaning of financial services.
13. Write a short note on:
    Forfaiting
    Diversification
    Conservative fund
14. Describe the services provided by credit rating agencies.

Section C

Answer any 2 questions. Each carry 14 marks.

15. Examine the various functions of the Capital Market.

16. Assess the functions of the Stock Exchange and justify its importance in ensuring transparency and investor protection.

17. Explain e-banking with special reference to UPI, RTGS, and NEFT.

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